

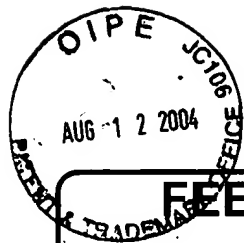
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/930,784
		Filing Date	August 15, 2001
		First Named Inventor	William M. Gillon
		Art Unit	2131
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission	85	Attorney Docket Number	4259P074

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Copies of Cited Art (76). Return Postcard</div>
Remarks		<div style="text-align: center;">RECEIVED AUG 13 2004 Technology Center 2100</div>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thomas C. Webster, Reg. No. 46,154 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	8/10/04

CERTIFICATE OF MAILING/TRANSMISSION			
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Typed or printed name	Janece Shannon	Date	8/10/2004
Signature			



FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)
0.00

Complete if Known

Application Number 09/930,784
Filing Date August 15, 2001
First Named Inventor William M. Gillon
Examiner Name Not yet assigned
Art Unit 2131
Attorney Docket No. 4259P074

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- ☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	

2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims		20					
Multiple Dependent		3					

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	

**or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(a)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)				Submission of Information Disclosure Statement Prior to mailing of First Office Action	0.00

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
0.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Thomas C. Webster

Registration No. (Attorney/Agent)

46,154

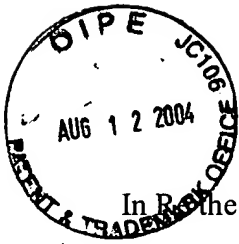
Telephone

(408) 720-8300

Signature

Date

8/10/04



8
Docket No.: 4259P074

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: the Application of:

WILLIAM M. GILLON, ET AL.

Application No.: 09/930,784

Filed: August 15, 2001

For: **A System and Method for Conditional
Access Key Encryption**

Art Group: 2131

Examiner: Not yet assigned

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

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Respectfully submitted,

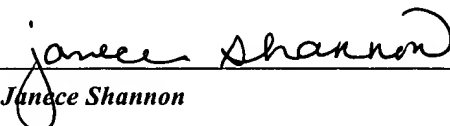
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

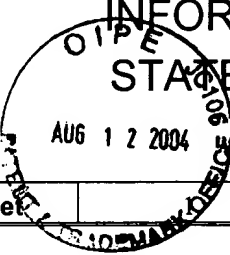
Date: 8/10/04


Thomas C. Webster, Reg. No. 46,154

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (408) 720-8300

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 8/10/2004
Janece Shannon Date

Substitute for Form 1449/PTO <div style="text-align: center;">  <h2 style="margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="font-size: small;">(use as many sheets as necessary)</p> </div>				Complete if Known		
		Application Number		09/930,784		
		Filing Date		8/15/2001		
		First Named Inventor:		William M. Gillon		
		Art Unit		2613		
		Examiner Name		Unassigned		
Sheet		of		3		
		Attorney Docket Number		4259.P074		
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-	4,944,008	7/24/90	Piosenka, et al.	
		US-	5,371,551	12/6/94	Logan, et al.	
		US-	5,495,246	2/27/96	Nichols, et al.	
		US-	5,515,373	5/7/96	Lynch, et al.	
		US-	5,574,964	11/12/96	Hamlin, Christopher L.	
		US-	5,584,023	12/10/96	Hsu	
		US-	5,627,539	6/6/97	Lynch, et al.	
		US-	5,631,651	5/20/97	Nichols, et al.	
		US-	5,634,074	5/27/97	Devon, et al.	
		US-	5,721,727	2/24/98	Ashi	
		US-	5,721,827	2/24/98	Logan, et al.	
		US-	5,727,233	3/10/98	Lynch, et al.	
		US-	5,732,216	3/24/98	Logan, et al.	
		US-	5,734,862	3/31/98	Kulas	
		US-	5,799,190	8/25/98	Lynch, et al.	
		US-	5,819,298	10/6/98	Wong, et al	
		US-	5,862,220	1/19/99	Perlman	
		US-	5,870,477	2/9/99	Sasaki, et al.	
		US-	5,892,536	4/6/99	Logan, et al.	
		US-	5,896,444	4/20/99	Perlman, et al.	
		US-	5,918,013	6/29/99	Mighdoll, et al.	
		US-	5,931,949	8/3/99	Perlman, et al.	
		US-	5,938,748	8/17/99	Lynch, et al.	
		US-	5,940,073	8/17/99	Klosterman	
		US-	5,963,909	10/5/99	Warren, et al.	
		US-	5,978,381	11/2/99	Perlman, et al.	
		US-	5,983,273	11/9/99	White, et al.	
		US-	5,986,692	11/16/99	Logan, et al.	
		US-	6,005,938	12/21/99	Banker, et al.	
		US-	6,014,693	1/11/00	Ito, et al.	
		US-	6,023,585	2/8/00	Perlman, et al.	

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Examiner Signature		Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



(use as many sheets as necessary)

Application Number	09/930,784
Filing Date	8/15/2001
First Named Inventor:	William M. Gillon
Art Unit	2613
Examiner Name	Unassigned
Attorney Docket Number	4259.P074

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	6,014,694	1/11/00	Aharoni, et al.	
		US-	6,026,079	2/15/00	Perlman	
		US-	6,044,396	3/28/00	Adams	
		US-	6,049,694	4/11/00	Kassatly	
		US-	6,073,168	6/6/00	Mighdoll, et al.	
		US-	6,088,455	7/11/00	Logan, et al.	
		US-	6,118,498	9/12/00	Reitmeir Glenn Arthur	
		US-	6,134,590	10/17/00	Perlman	
		US-	6,138,147	10/24/00	Weaver, et al.	
		US-	6,141,356	10/31/00	Gorman	
		US-	6,169,879	1/2/01	Perlman	
		US-	6,188,397	2/13/01	Humpleman, Richard	
		US-	6,199,076	3/6/01	Logan, et al.	
		US-	6,199,114	3/6/01	White, et al.	
		US-	6,233,389	5/15/01	Barton	
		US-	6,366,731	4/2/02	Na, et al.	
		US-	6,360,368	3/19/02	Chawla	
		US-	6,526,580	2/25/03	Shimomura, et al.	
		US-	6,578,070	6/10/03	Weaver, et al.	
		US-	6,603,488	8/1/03	Humpleman, Richard	
		US-	6,611,503	8/1/03	Fitzgerald, et al.	
		US-	RE 36,801	8/1/00	Logan, et al.	
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of

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Application Number	09/930,784
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First Named Inventor:	William M Gillon
Art Unit	2131
Examiner Name	Unassigned
Attorney Docket Number	4259.P074

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^a
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
		EP 0 364 229 A2			4/18/90	Yokono, Shigeru, et al.		
		EP 0 676 761 A1			10/11/95	Igarashi, Tatsuya, et al.		
		EP 0 693 727 A1			1/24/96	Tsakamoto, Junichi, et al.		
		EP 0 714 204 A2			5/29/96	Park, Tae Joon		
		EP 0 749 244 A2			12/18/96	Yukio, Kurano, et al.		
		EP 0 753 964 A1			1/15/97	Meunier, Paul Louis et al.		
		EP 0 756 393 A1			1/29/97	Pirat, Patrick		
		EP 0 773 681 A2			5/14/97	Park, Tae Joon		
		EP 0 903 738 A2			3/24/99	Saeki, Shinchi et al.		
		EP 0 911 962 A1			4/28/99	Matsuura, Syuuji, et al.		
		EP 0 971 358 A2			1/12/00	Yoshkik, Kuno		
		EP 01 079 581 A2			2/28/01	Choo, Tse Huang		
		EP 1 018 835 A2			7/12/00	Nooralahlyan, Amir, et al.		
		EP 1 032 195 A2			8/30/00	Pieper, Michael		
		EP 1 056 279 A1			11/29/00	Entwistle, Paul		
		EP 1 143 722 A1			10/10/01	Wajs, Andrew Augustine		
		GB 2 333 017 A			7/7/99	Ryu, Choong-LL		
		WO 99/22513 A2			5/6/99	Bruls, Wilhelmus et al		
		WO 99/33265			7/1/91	O'Connor, et al.		
		WO 00/01149 A1			1/6/00	Wachtgogel, Reuven et al		
		WO 00/51129			8/31/00	Mosher, Kevin L. et al.		
		WO 01/11865 A1			2/15/01	Bodkin, Nigel et al.		
		WO 01/74079 A1			10/4/01	Maruo, Jun, et al.		
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